

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF MARYLAND

	:
OSBORNE MARK REAVES	:
	:
v.	: Civil Action No. DKC-14-2245
	:
SALLY JEWELL, Secretary	:
Department of Interior	:

**MEMORANDUM OPINION**

Presently pending and ready for resolution in this Freedom of Information Act ("FOIA") case are three motions: (1) a motion for redaction of personal contact information filed by Plaintiff Osborne Mark Reaves ("Plaintiff" or "Mr. Reaves") (ECF No. 7); (2) a motion to dismiss or for summary judgment filed by Defendant (ECF No. 8); and (3) a motion to strike Plaintiff's surreply filed by Defendant (ECF No. 14). The court now rules, no hearing being deemed necessary. Local Rule 105.6. For the following reasons, Plaintiff's motion to redact will be granted. Defendant's motion to dismiss will be granted, but Plaintiff may submit an amended complaint within fourteen (14) days. Defendant's motion to strike will be granted.

**I. Background**

**A. Factual Background**

Plaintiff Osborne Mark Reaves, proceeding *pro se*, filed a complaint on July 14, 2014 against the United States Department of the Interior ("Defendant") under the federal Freedom of

Information Act ("FOIA"), 5 U.S.C. § 552 *et seq.* The following facts are alleged in his complaint. Plaintiff is a police lieutenant employed by the United States Park Police ("Park Police").<sup>1</sup> Plaintiff received a letter of reprimand issued by the Park Police on April 23, 2014, stemming from an incident that occurred on July 4, 2013. (ECF No. 1 ¶ 3). Plaintiff represents that he filed an EEO Complaint regarding the July 4, 2013 incident. (*Id.*). He states that he filed a FOIA request by email dated May 19, 2014 directed to Charis Wilson, a FOIA Officer with the National Park Service, "request[ing] access to documents in connection with U.S. Park Police Administrative Complaint #13-33392 and CN# 13-25704." (*Id.* ¶ 5). Plaintiff requested:

Any and all documents located in the case jacket of the above referenced case numbers to include the entire report of investigation; the Internal Affairs disposition report; transcripts of interviews taken during the investigation; handwritten notes generated by members of the Internal Affairs Unit; the interoffice memorandum concerning CN #13-33392 that states the disposition and proposed discipline, any and all written reports submitted by witnesses/suspects; any and all materials produced or reviewed by the Acting Commander of the Office of Professional Responsibility Captain Michael Libby.

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<sup>1</sup> Plaintiff asserts that the U.S. Park Police is an arm of the National Park Service, which is a bureau with the Department of the Interior. (ECF No. 1 ¶ 4).

(ECF No. 1-1, at 1-2). In a follow-up email dated May 19, 2014 to Charis Wilson, Plaintiff requested "any and all emails sent or received by the Acting Commander of the Office of Professional Responsibility Captain Michael Libby regarding U.S. Park Police Administrative Complaint #13-33392 and CN#13-25705."

(ECF No. 1-2, at 1). By email dated June 3, 2014, Captain Michael Libby stated:

This is the Administrative release of the file for IAU case # 130089. As an employee you[] are entitled to a copy of the file. This is NOT a release under FOIA or by your request. The FOIA request you filed will be handled under the normal process and regulations.

(ECF No. 1-3) (emphasis in original).<sup>2</sup> Plaintiff contends that he reviewed the IAU case jacket and found that the IAU "violated policy and made investigatory errors while conducting this investigation." (ECF No. 1 ¶ 8). In the complaint, Plaintiff indicated that he had not received any correspondence regarding his FOIA request from Defendant since the email from Captain Libby on June 3, 2014. (*Id.* ¶ 9). Plaintiff believes that Defendant is withholding records that were part of the May 19, 2014 FOIA request. (*Id.*).

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<sup>2</sup> Plaintiff also asserts that on May 14, 2014, he contacted Karlyn Payton of the U.S. Park Police Employee Relation Unit and attempted to obtain the case jacket justifying the issuance of the April 2014 letter of reprimand. (ECF No. 1-4, at 1). Plaintiff alleges that he did not receive the file when the April 2014 letter of reprimand was issued, nor was he sent the file following his May 14, 2014 request. (ECF No. 1 ¶ 8).

## **B. Procedural History**

On August 25, 2014, Plaintiff filed an unopposed motion for redaction of personal contact information. (ECF No. 7). On September 19, 2014, Defendant filed a motion to dismiss or, in the alternative, for summary judgment. (ECF No. 8). Plaintiff opposed the motion (ECF No. 10), and Defendant replied (ECF No. 12). On November 7, 2014, Plaintiff filed a surreply. (ECF No. 13). On November 25, 2014, Defendant moved to strike the surreply. (ECF No. 14).

## **II. Analysis**

### **A. Plaintiff's Motion to Redact**

Plaintiff's motion to redact is in essence a motion to seal his complaint and accompanying exhibits. Plaintiff requests that certain personal identifying information be redacted from those filings. (See ECF No. 7). Plaintiff asserts that the complaint, summonses, and exhibits contain his home address, personal e-mail, and phone number, which he seeks to be redacted. Plaintiff explains that he is a police officer and "the release of this information to the general public could place [him] in danger or make [him] susceptible to harassment." (*Id.*). Plaintiff also asserts that he has already found documents with his personal contact information displayed on several websites. Plaintiff provides redacted versions of the

complaint and accompanying exhibits. (See ECF Nos. 7-1 through 7-6). Defendant does not oppose Plaintiff's request.

Plaintiff's motion will be granted. Fed.R.Civ.P. 5.2(e) enables the court for good cause to order redaction of additional information beyond what is enumerated in Fed.R.Civ.P. 5.2(a).<sup>3</sup> Plaintiff has "proposed reasons supported by specific factual representations to justify the [requested]" redactions. See Loc.R. 105.11. Protecting the safety of law enforcement qualifies as good cause. Thus, the clerk will be directed to seal the complaint, summons, and accompanying exhibits, which have been replaced with the redacted versions Plaintiff supplied.<sup>4</sup>

**B. Defendant's Motion**

Defendant argues that Plaintiff's complaint should be dismissed for lack of subject matter jurisdiction pursuant to Fed.R.Civ.P. 12(b)(1) or, in the alternative, summary judgment should be granted. The motion will be construed as a motion to dismiss.

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<sup>3</sup> Fed.R.Civ.P. 5.2(a) requires parties to redact social security numbers, taxpayer-identification numbers, birth dates, names of minors, and a financial-account number.

<sup>4</sup> It appears that the certificate of service page and some of the accompanying exhibits attached to Defendant's motion and reply brief also contain personal identifying information that Plaintiff seeks redacted. Defendant will have seven (7) days to redact Plaintiff's home address, email, and phone number from its filings.

Defendant first argues that the case should be dismissed as moot<sup>5</sup> because it has produced all responsive documents, withholding and redacting only those documents subject to exemptions under FOIA. According to Defendant, Plaintiff filed his complaint on July 14, 2014, while Defendant was in the process of locating 111 pages of responsive documents, which were then sent to Plaintiff on September 16, 2014. (ECF No. 8, at 4). Thus, Defendant maintains that "there is no further judicial function for the court to perform." (*Id.* at 9). Defendant argues that because the release of documents eliminates any live controversy between the parties, the court lacks jurisdiction. (*Id.* at 9-10). In the alternative, Defendant contends that it is entitled to summary judgment because it conducted an adequate search for responsive documents, and only withheld or redacted documents that were exempt under FOIA. (*Id.* at 11). Defendant attaches a declaration from Lieutenant John Dillon, Assistant Commander in the Planning and Development Unit of the United States Park Police, who avers that he performed an exhaustive search for responsive documents. (ECF No. 8-2 ¶¶ 5, 8) ("U.S. Department of Interior withheld certain information from the provided

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<sup>5</sup> "[M]ootness [is] derived from the Constitution, specifically Article III, which requires a 'case or controversy' as the fundamental ingredient of subject matter jurisdiction." *Mobley v. Acme Mkts., Inc.*, 473 F.Supp. 851, 858 (D.Md. 1979).

documents insofar as they are records or information that are protected under FOIA exemptions." ). Defendant broadly discusses how the documents withheld are covered under FOIA exemptions, specifically 5 U.S.C. § 552(b)(5), (b)(6), and (b)(7). (*Id.* at 12-15).

In his opposition, Plaintiff indicates that he "would like to initiate an administrative appeal to contest the redactions in the records [he] received." (ECF No. 10). Plaintiff maintains that he has not received the full record he requested. Plaintiff proposes a stay, "so [that he] can contest the redactions with the agency through an administrative appeal." (*Id.*) (emphasis in original). In its reply brief, Defendant points out that Plaintiff "fails to offer an iota of detail as to why the U.S. Department of Interior failed to perform an adequate search." (ECF No. 12, at 3). Defendant also objects to a stay, arguing that it "has already produced the responsive documents to Plaintiff and properly withheld information pursuant to the FOIA exemptions. To allow Plaintiff to litigate a separate appeal through the agency's FOIA administrative appeals process would be redundant and lead to additional litigation regarding the same matter." (*Id.* at 5). Defendant acknowledges in a footnote in its reply brief that Plaintiff filed an administrative appeal with the Department of the Interior's FOIA Appeals Office on October 9, 2014. (*Id.* at 5

n.2). However, Defendant cites its authority to suspend review of Plaintiff's appeal pending the findings of this court, pursuant to 43 C.F.R. § 2.60(c). Defendant avers that "[t]his court can easily dispose of this litigation by ruling that Plaintiff's [c]omplaint is moot given the fact that Defendant has already produced all responsive documents with appropriate redactions. It is wholly unnecessary for Plaintiff to go through a separate administrative appeals process on these issues." (*Id.*).<sup>6</sup>

FOIA provides a mechanism for citizens to obtain documents from federal agencies, and grants federal district courts jurisdiction to review agency compliance with citizens' requests. *Sanders v. United States*, Civ. Action No. DKC-06-1528, 2006 WL 4707001, at \*3 (D.Md. Nov. 14, 2006). To make requests under FOIA, a citizen must follow the agency's published regulations regarding procedures to be followed. See

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<sup>6</sup> Plaintiff has filed a surreply, in which he reiterates his request to stay court proceedings to "allow the administrative process to run its course" and attaches as an exhibit the administrative appeal he filed with Defendant's Appeals Office. (See ECF Nos. 13, 13-1, 13-2). Local Rule 105.2(a) states that "[u]nless otherwise ordered by the court, surreply memoranda are not permitted to be filed." Here, Plaintiff did not seek leave to file a surreply. A surreply may be permitted "when the moving party would be unable to contest matters presented to the court for the first time in the opposing party's reply." *Khoury v. Meserve*, 268 F.Supp.2d 600, 605 (D.Md. 2003) (citation omitted). It does not appear that Defendant presented any new arguments in the reply brief; instead, Defendant replied to Plaintiff's request for a stay. Accordingly, Defendant's motion to strike the surreply will be granted.



5 U.S.C. § 552(a)(3)(A)(ii); *Pollack v. Dep't of Justice*, 49 F.3d 115, 118 (4<sup>th</sup> Cir. 1995). FOIA provides that, subject to certain statutory exemptions, federal agencies shall "upon any request for records which reasonably describe such records . . . make the records promptly available to any person." 5 U.S.C. § 522(a)(3)(A). In a lawsuit seeking the release of documents under FOIA, "[o]nce the records are produced[,] the substance of the controversy disappears and becomes moot since the disclosure which the suit seeks has already been made." *Jacobs v. Fed. Bureau of Prisons*, 725 F.Supp.2d 85, 89 (D.D.C. 2010) (internal quotation marks omitted); *Morales v. Pension Ben. Guar. Corp.*, Civ. Case No. L-10-1167, 2012 WL 253407, at \*4 (D.Md. Jan. 26, 2012) ("A FOIA action becomes moot when, during the pendency of a lawsuit seeking the disclosure of document, the requester is provided with all documents responsive to his request."); *Regional Mgmt. Corp. v. Legal Servs. Corp.*, 186 F.3d 457, 465 (4<sup>th</sup> Cir. 1999).

In his opposition, Plaintiff does not address Defendant's arguments regarding mootness. Instead, he disputes that Defendant provided him with all responsive documents. The basis of Plaintiff's complaint, however, is that Defendant had not responded to his FOIA request at all. In *Taitz v. Colvin*, Civ. Action No. ELH-13-1878, 2013 WL 6623196, at \*1 (D.Md. Dec. 13, 2013), plaintiff also filed a federal lawsuit under FOIA because

the Social Security Administration did not respond to his request. During the pendency of that lawsuit, the Social Security Administration produced responsive documents, and plaintiff then argued in her opposition to defendant's motion to dismiss or for summary judgment that defendant "did not conduct an adequately thorough search for responsive documents" and alternatively, that defendant possessed responsive documents which it withheld. *Id.* at \*2. Judge Hollander concluded that "[p]laintiff's Amended Complaint was filed before the SSA responded to her FOIA request, and has been rendered moot by the SSA's response to her FOIA request." *Id.* Judge Hollander noted that "[i]f plaintiff takes issue with the adequacy of the SSA's response, she must amend her complaint to add allegations that the SSA's response was deficient."

Here, Defendant's production of documents also renders the complaint moot. Plaintiff now objects to the redactions and believes he has not received the full record he requested. "A party cannot alter his or her claim through briefs[, however]. Instead, 'the proper procedure for plaintiff[] to assert a new claim is to amend the complaint in accordance with Fed.R.Civ.P. 15(a).'" *Taitz*, 2013 WL 6623196, at \*2 (quoting *Gilmour v. Gates, McDonald & Co.*, 382 F.3d 1312, 1315 (11<sup>th</sup> Cir. 2004)).

Plaintiff requests a stay so that he may pursue his objections administratively, but it is unnecessary to keep this

case open, especially considering that his complaint has been rendered moot. *See, e.g., Morales*, 2012 WL 253407, at \*4 (holding that plaintiff's complaint was moot insofar as it sought fulfillment of his outstanding FOIA requests, but conducting an *in camera* review of all redacted documents after plaintiff challenged the sufficiency of defendant's production). Moreover, Defendant informs that since it filed the reply brief, "the FOIA Appeals Officer has, in fact, declined to take any action on Plaintiff's administrative filing." (ECF No. 14, at 2 n.1). Defendant represents that in a letter dated November 14, 2014, "the FOIA Appeals Officer explained to Plaintiff that his appeal was rejected as this Court will make a determination on the disposition of his records request." (ECF No. 14, at 2 n.1). Plaintiff may file an amended complaint within twenty-one (21) days identifying the basis for his FOIA claim against Defendant.<sup>7</sup>

### **III. Conclusion**

For the foregoing reasons, Plaintiff's motion to redact will be granted. Defendant's motion to dismiss will be granted, but Plaintiff will have twenty-one (21) days to file an amended

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<sup>7</sup> Plaintiff attached as an exhibit to his surreply the appeal that he filed with Defendant's FOIA & Privacy Act Appeals Office. (See ECF Nos. 13-1 & 13-2). It appears that the appeal was prepared by an attorney on behalf of Plaintiff. (See ECF No. 13-1, at 9). If Plaintiff has retained an attorney to represent him in this case, the attorney must enter his appearance.

complaint. Defendant's motion to strike will be granted. A separate order will follow.

/s/

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DEBORAH K. CHASANOW  
United States District Judge